

Division of Facilities Construction and Management

DFCM

Request for Proposals for Design/Build Services SINGLE-STAGE COMPETITION

Value Based Selection Method

(Date)

(PROJECT TITLE)

DFCM Project No. (project number)

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Current copies of the following documents are hereby made part of this Request for Proposals for Design/Build Services by reference. These documents are available on the DFCM web site at http://dfcm.utah.gov or are available upon request from DFCM:

DFCM Design Manual dated May 25, 2005

DFCM General Conditions dated May 25, 2005

DFCM Application and Certificate for Payment dated May 25, 2005.

The Agreement and General Conditions dated May 25, 2005 have been updated from versions that were formally adopted and in use prior to this date. The changes made to the General Conditions are identified in a document entitled Revisions to General Conditions that is available on DFCM's web site at http://dfcm.utah.gov

NOTICE TO DESIGN / BUILD TEAMS SINGLE-STAGE COMPETITION

The Division of Facilities Construction and Management (DFCM) intends to hire a Design/Build Team comprised of a General Contractor supported by subcontractors and an A/E with supporting technical consultants to design and construct the following project:

DFCM PROJECT NO.	
The project estimated cost is \$	This design/build project will include
The RFP documents will be available on	from DFCM, 4110 State Office Building, Salt b site at http://dfcm.utah.gov. For questions and directions regarding
this project, please contact others are to be contacted regarding this project	Program Director, DFCM, at (801) No ect.
MANDATORY Pre-Proposal Meeting for al	selected by a Value Based Selection RFP method. A l Design/Build Teams (A/E's & General Contractors) will be held at, at
and Engineers wishing to submit on this projection	, at All prime Contractors, Architects ect <u>must</u> attend this meeting.
The proposal documents that are requested in Salt Lake City, Utah, 84114 by the dates and	the RFP must be submitted to DFCM at 4110 State Office Building, times shown in the Project Schedule.
	6) of the proposal amount, made payable to the Division of Facilities bid bond form, shall accompany the cost proposal.
The Division of Facilities Construction & Ma any formality or technicality in any proposal	anagement reserves the right to reject any or all proposals or to waive in the interest of the State.
DIVISION OF FACILITIES CONSTRUCTI MARLA WORKMAN, CONTRACT COOR 4110 State Office Bldg. Salt Lake City, Utah 84114	

DESCRIPTION OF WORK

(Include in this area a description of the project, project size, unique requirements, a statement of what services are required, etc.)

Project Risk Factors

(Include in this area the risks that have been identified for the project)

The Design/Build Team will be selected using a Single Stage Value Based Selection method. Each Design/Build Team will submit a preliminary design and cost proposal that demonstrates compliance with project scope and budget as outlined in the RFP.

Following the VBS Selection Committee's determination of the Design/Build Team whose proposal provides the greatest value to the State a stipend of \$______ will be awarded to other finalists who met all submittal requirements but were not selected. In return for accepting the stipend, the State can incorporate portions or ideas of all three design/construction solutions. Upon successful completion of contract negotiations, a Notice to Proceed will be provided to the selected team.

PROCUREMENT PROCESS

The State of Utah intends to enter into an agreement with a firm to provide Design/Build services as described. The selection of the Design/Build Team will be made using a Value Based Selection (VBS) system.

1. Request for Proposals Documents for Design/Build Team

The Request for Proposals for Design/Build Services (RFP) consists of all of the documents listed in the Table of Contents and all said documents are incorporated in this RFP by reference. The RFP will be available at DFCM per the attached schedule and on the DFCM web site at http://dfcm.utah.gov.

2. Contact Information

Except as authorized by the DFCM Representative or as otherwise stated in the RFP or the pre-proposal meeting, communication during the selection process shall be directed to the specified DFCM's Representative. In order to maintain the fair and equitable treatment of everyone, contractors shall not unduly contact or offer gifts or gratuities to DFCM, any Board officer, employee or agent of the State of Utah, users or selection committee members in an effort to influence the selection process or in a manner that gives the appearance of influencing the selection process. This prohibition applies before the RFP is issued as the project is developed, and extends through the award of a contract. Failure to comply with this requirement may result in a disqualification in the selection process. Contractors should be aware that selection committee members will be required to certify that they have not been contacted by any of the contractors in an attempt to influence the selection process.

3. Requests for Information

All requests for	information regarding this project shall be in writing and directed to:
	(DFCM Representative)
Division of Faci	lities Construction and Management
4110 State Offic	e Building
Salt Lake City, U	Jtah 84114
E-mail:	
Facsimile:	

4. **Project Schedule**

The Project Schedule lists the important events, dates, times and locations of meetings and submittals. The terms of the project schedule are hereby incorporated by reference and must be met by the selected team.

5. Mandatory Pre-Proposal Meeting

A mandatory pre-submittal meeting will be held on the date and time and at the location listed on the Project Schedule. A representative from each interested general contractor firm and each interested prime design firm is required to attend. During the meeting, a presentation will be made to describe the overall scope of work and

intended schedule. Interested firms may ask questions and request clarification about the project and the procurement process.

Sub-consultants and subcontractors are invited to attend this meeting but it is not mandatory for them.

The prime construction and prime design firms absence from the pre-submittal meeting and/or failure to register precludes participation as a submitting firm on this project.

6. Submittal Due Dates and Times

All required submittals must be delivered to, and be received by DFCM prior to the date and time indicated in the Project Schedule. Submittals received after the specified time will not be accepted. Please allow adequate time for delivery. If using a courier service, the Design/Build Team is responsible for ensuring that delivery will be made directly to the required location. It is your responsibility to allow for the time needed to park on Capitol Hill as recent construction activity has made the parking more difficult. Identification is required to enter the building.

7. Last Day to Submit Questions

All questions must be received at the office of D	FCM no later than the time and da	ated listed in the Project
Schedule. Questions must be submitted in writing	ng to	_ at DFCM.

8. Cost Proposal

Cost Proposals are required to be within the stated design/build budget of \$______. Before submitting a proposal, each Design/Build team shall carefully examine the RFP, visit the site of the Work, fully inform themselves as to all existing conditions and limitations, and shall include in the Cost Proposal the cost of all items required by the RFP. The Team is responsible for complying with all applicable laws, building codes, rules and regulations.

The Cost Proposal, bearing original signatures, must be typed or handwritten in ink on the cost proposal form provided in the RFP and submitted in a sealed envelope at the location specified below prior to the deadline for submission of cost proposals indicated on the Project Schedule.

Bid bond security, in the amount of five percent (5%) of the proposal amount, made payable to the Division of Facilities Construction and Management, shall accompany the Cost Proposal. THE BID BOND MUST BE ON THE BID BOND FORM PROVIDED IN THE PROCUREMENT DOCUMENTS IN ORDER TO BE CONSIDERED AN ACCEPTABLE PROPOSAL.

If the bid bond security is submitted on a bid bond form other than DFCM's required bid bond form, and the bid security meets all other legal requirements, the contractor will be allowed to provide an acceptable bid bond by the close of business on the next business day following notification by DFCM of submission of a defective bid bond security. NOTE: A cashier's check cannot be used as a substitute for a bid bond.

Cost Proposals will be accepted at the office of DFCM, 4110 State Office Building, Salt Lake City, UT 84114. Late proposals will be disqualified and returned to the proposer unopened. One copy of the cost proposal is required.

9. <u>Compliance with Construction Budget</u>

The stated budget is a "Guaranteed Maximum Price/Not to Exceed" (GMP/NTE) and DFCM expects to receive cost proposals that are within the stated construction budget. While all contractors are generally encouraged to submit cost reduction proposals as appropriate, those who are not able to submit a cost proposal within the budget are particularly requested to submit cost reduction proposals to bring the cost within the budget. Contractors may, however, submit cost proposals that exceed the budget but they will be addressed in the manner explained below.

After the deadline for submitting cost proposals, DFCM staff will open proposals to identify those contractors whose cost proposals, net of any potentially acceptable cost reduction proposals, are within the stated budget. Cost proposals will be kept confidential and will not be disclosed to the selection committee until after the interviews and preliminary deliberations are completed. No information regarding a contractor's cost and cost reduction proposals will be disclosed to competing contractors prior to the completion of the selection process.

Only contractors whose cost proposals, less any potentially acceptable cost reduction proposals, are within the stated budget for the contract will be invited to an interview. If no proposals are received from responsive and responsible contractors whose cost proposal, less any potentially acceptable cost reduction proposals, are within the stated budget for the contract, DFCM will determine which of the following actions to take:

- A. DFCM may increase the stated budget for the contract and proceed with the selection process with only those contractors whose cost proposals, less any potentially acceptable cost reduction proposals, are within the revised budget for the contract. The determination of sources of additional funding and how much the budget will be increased is solely at the discretion of DFCM.
- B. DFCM may reduce the scope or requirements of the contract. This will be evidenced in an addendum to the RFP which will also set a new deadline for submitting revised cost proposals and cost reduction proposals. Only qualified contractors who had previously submitted a cost proposal in accordance with the RFP may be considered in this extended procurement process.
 - C. DFCM may reject all proposals.

10. Cost and Scope Adjustment Proposals

Design/Build Teams may submit Cost and Scope Adjustment Proposals by the deadline indicated on the Project Schedule. Sufficient description of the adjustment as well as the impact on the Cost Proposal must be provided to allow for evaluation by the Selection Committee of the impact on scope, functionality, durability, long term cost efficiency and initial cost. The amount shown on the base Cost Proposal should not include the impact of the Cost and Scope Adjustment Proposals.

The Cost and Scope Adjustment Proposals will be evaluated by DFCM and the user to determine if they are potentially acceptable. Prior to the interviews, each contractor will be notified as to which of their Cost or Scope Adjustment Proposals are determined to be potentially acceptable and which ones will not be considered in the selection process. Only those Cost and Scope Adjustment Proposals that are determined to be potentially acceptable may be presented in the interview. Design/Build Teams may not submit additional Cost and Scope Adjustment Proposals after the deadline. Any new Cost and Scope Adjustment Proposal that is raised in the interview process that was not submitted prior to the deadline will not be considered in the selection process. The Cost and Scope Adjustment Proposals that are accepted will be included in the original contract.

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DFCM retains the right that, if it determines that a cost reduction proposal is desirable but the proposed change is so substantial that its consideration in the selection process would not allow for the fair and equitable treatment of all contractors, DFCM may, at its option, include the proposed change of contract requirements in an addendum and allow all qualified contractors to submit a new proposal.

11. <u>Listing of Subcontractors</u>

Listing of Subcontractors shall be as required by the Request for Proposals and as summarized in the "Instructions and Subcontractor's List Form", which are included as part of this RFP except that only subcontractors that need to be listed are those that have been identified at the time of submission. The Subcontractors List shall be delivered to DFCM or faxed to DFCM at (801)538-3677 by the date and time stated in the Project Schedule and will be included in the Contract. Requirements for listing additional subcontractors are as follows:

NO ADDITIONAL REQUIREMENTS

As additional subcontractors are identified, the subcontractor list shall be updated and submitted to the DFCM representative. DFCM retains the right to audit or take other steps necessary to confirm compliance with requirements for the listing and changing of subcontractors. Any contractor who is found to not be in compliance with these requirements is subject to a debarment hearing and may be debarred from consideration for award of contracts for a period of up to three years.

12. Addendum

All responses to questions and requests for clarification will be in writing and issued as addenda to the Request for Proposals. Addenda will be provided to every entity that has registered for receiving documents. The addenda or notice of the Addendum will be posted on DFCM's web site.

Any addenda issued prior to the submittal deadline shall become part of the Request for Proposals and any information required shall be included in your proposal.

13. Past Performance and References

As each DFCM project is completed, DFCM, the Contractor and A/E will evaluate each other and will also be evaluated by the using agency/institution. It is the intent of DFCM that this process will be the major source for evaluating past performance.

Contractors and A/Es shall submit past performance and reference information by the time indicated on the Project Schedule.

For all DFCM projects completed in the last 5 years identify the project by name, number and DFCM project manager. Each prime contractor and each prime design firm wishing to compete for this project that has not completed at least three DFCM projects in the last 5 years, will be required to provide one copy of a list of references on additional similar projects for a total of 3 projects.

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For non-DFCM projects provide the following information:

Point of Contact: Person who will be able to answer any customer satisfaction questions.

Phone Number: Phone number of the contact we will be surveying.

User Name: Name of Company / Institution that purchased the construction work.

Project Name: Name of the project.

Date Completed: Date of when the work was completed.

Address: Street, city and state where the work was performed.

Size: Size of project in dollars.

Duration: Duration of the project / construction in months.

Type: Type of the project (i.e.: School, Offices, Warehouse, etc)

14. Statements of Qualifications

The submitting Design/Build Team shall provide seven (7) copies of the Statements of Qualifications. The Statement of Qualifications is a short document that addresses the selection criteria. It indicates the experience and qualifications of the Design/Build Team, the construction project manager, the lead designer, and other critical members of the team. It describes what talents their team brings to the project, how their knowledge of the subject will provide benefit to the process, how the team has been successful in the past and how that relates to this project. It should include information on similar projects that have been completed by the Design/Build Team, construction project manager, lead designer, and other team members. Include the experience and special qualifications that are applicable to this project and/or are part of the project specific selection criteria.

15. Design Proposal

The following is a list of all items to be submitted by the Design Proposal due date:

- Presentation Boards (one of each required drawing). Each board will be 24" x 36".
- Required Drawings (6 sets). Each drawing sheet will be sized sufficient to demonstrate the detail of the drawings.
 - o Site Drawing, including but not limited to:
 - Plan showing all site development: building footprints and floor elevations, roads, parking, drainage Scale 1"=50'-0" (minimum).
 - Circulation showing entries, exists, service access, site fire access lane, pedestrian paths, building entries, Scale 1"=50'-0" (minimum).
 - Landscaping, Scale 1"=50'-0" (minimum).
 - o Floor Plans for each floor of the building(s), Scale 1/8"=1'-0" (minimum).
 - o Elevations, including but not limited to:
 - All four elevations of the building(s), Scale 1/8"=1'-0" (minimum).
 - Unique elevations of other sections of the building(s), Scale 1/8"=1'-0" (minimum).
 - Transverse and longitudinal sections, Scale 1/8"=1'-0" (minimum).
 - o Details as required to show design approach, and to demonstrate quality.
 - One perspective view drawing
- Complete outline specifications (6 sets)
- Adequate narrative description of each system (electrical, mechanical, plumbing, structural, security, etc. (6 sets)

• A complete list of exclusions or exceptions from requirements listed in the requirements of the projects.

16. Management Plan

The Design/Build Team shall submit seven (7) copies of a Management Plan by the time indicated on the Project Schedule. The Management Plan should demonstrate how the Design/Build Team is organized, the role of team members, and how the team will work together to achieve the objectives of the project. It should identify decision making authority and point of contact.

The Management Plan should address in a general manner how the Team will accomplish the objectives of the project, mitigate the project risks identified by the Team, and address any other selection criteria not addressed elsewhere in the Team's submittals. It should include a preliminary project schedule indicating how the Team will accomplish the desired completion timeframe.

The Management Plan should be concise yet contain sufficient information for evaluation by the Selection Committee.

17. Time

It is anticipated that a contract will be given to the contractor for signature by the date specified in the Project Schedule. The actual notice to proceed will be based on how quickly the contractor returns the contract and the required bonds as well as the resolution of any issues that may arise in the procurement process. The actual completion date will be based on the contractors proposed schedule and the date the contractor received the contract for signature.

All plans, schedules, and the cost proposals are required to reflect the proposed design and construction time. Non-compliance with the schedule will not result in automatic disqualification; it will be evaluated by the Selection Committee in determining the final selection.

18. Termination or Debarment Certifications

The general contractor and prime design firm of the design build team must submit a certification that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from soliciting work by any governmental department or agency. These firms must also certify that neither the firm nor its principals have been terminated during the performance of a contract or withdrew from a contract to avoid termination. If the firm cannot certify these two statements, the firm shall submit a written explanation of the circumstances for review by DFCM. Design/Build Teams are encouraged to submit these certifications with the Statement of Qualifications but they may be submitted up until the time the Stage 1 selection is completed.

19. **Selection Committee**

The Selection Committee will be composed of individuals from the Utah State Building Board, DFCM, the User Agency / Institution, representatives from the design and construction disciplines, and others deemed appropriate by DFCM.

20. **Interviews**

Interviews will be conducted with all responsive and responsible Design/Build Teams except as follows. If more than nine Design/Build Teams submit proposals and meet other requirements, DFCM may convene the Selection Committee to develop a list of contractors to be invited to interviews. This evaluation will be made using the selection criteria noted below. The information provided by the past performance/references, Preliminary Management Plan and Statement of Qualifications will be the basis for this evaluation.

The purpose of the interview is to allow the Design/Build Team to present its qualifications, past performance and preliminary management plan. It will also provide an opportunity for the Selection Committee to seek clarification of the Team's proposal.

The proposed primary project management personnel, including the project manager and Architect, should be in attendance. The project manager is the Design/Build Team's representative who will have full responsibility for the design and construction of the project. The project manager has overall job authority, will be in attendance at all job meetings, and is authorized by the contractor to negotiate and sign any and all change orders in the field, if necessary. Unless otherwise noted, the attendance of sub-consultants and subcontractors is at the discretion of the contractor.

The method of presentation is at the discretion of the Design/Build Team. The interviews will be held on the date and at the place specified in the Project Schedule.

21. **Selection Criteria**

The following criteria will be used in arriving at the successful design/build team. The criteria are not listed in any priority order. The Selection Committee will consider all criteria in performing a comprehensive evaluation of the proposal.

The following criteria will be used in ranking each of the construction firms. The firm that is ranked the highest will represent the best value for the state. The criteria are not listed in any priority order. The selection committee will consider all criteria and determine how much weight to give to each item in performing a comprehensive evaluation of the proposal. Weights have been assigned to each criteria in the form of points.

1.	<u>Design Proposal.</u> POINTS. The Design/Build Team's design as presented in the drawings and specifications as clarified in the interviews will be evaluated as to how well it meets the objectives of the project.
2.	Schedule. POINTS. The contractor's schedule will be evaluated as to how well it meets the objectives of the project. Unless other objectives are stated the shorter the construction duration that is evaluated to be feasible while maintaining safety and quality in conformance with the construction documents is preferred. The contractor shall discuss during the interview the project schedule identifying

reviewed and agree to the schedule. The overall completion date shown on the schedule will be used in the contract as the contract completion date. 3. DFCM Past Performance Rating. **POINTS.** Each construction firm will be given a past performance rating. The rating will be based first on how well the firm did on past projects with DFCM. If the DFCM past performance ratings are not available a rating will be established using any DFCM past performance ratings that are available, supplemented by references supplied by the contractor at the time the proposals are submitted. 4. Strength of Contractor's Team. **POINTS.** Based on the statements of qualifications, the interview, and management plan, the selection team shall evaluate the expertise and experience of the construction firm the project manager and the superintendent as it relates to this project in size, complexity, quality and duration. Consideration will also be given to the portions of the project that the contractor will self perform and the strength brought to the team by critical subcontractors including how they were selected and the success the contractor has had in working with them. 5. <u>Project Management Approach.</u> **POINTS.** Based on the information provided in the construction and management plan and information presented in the interview the selection team shall evaluate how each team has planned the project and determined how to construct the project in the location and in the time frames presented. The firm should present how they plan to move material and people into and out of the site. Keep the site safe; minimize disruption to the facility, etc. The construction firm shall also discuss what portions of the project they plan to self perform. The selection team will also evaluate the degree to which risks to the success of the project have been identified and a reasonable solution has been presented. This may include cost reduction ideas or proposals. **POINTS.** The contractor's proposal will be considered with all other criteria to determine the ranking of firm. The following criteria are project specific. They are added to the above criteria in determining the selection that represents the best value for the state. (Place project specific criteria here – this may include such things as unique requirements, specific experience, keeping parts in operation, etc - **POINTS**) TOTAL POSSIBLE POINTS: ____ POINTS.

major work items with start and stop dates that are realistic and critical subcontractors and if they have

22. Award of Contract

The selection of the Design/Build Team will be made using the Value Based Selection system (VBS). The award of the Contract shall be in accordance with the criteria set forth in the Request for Proposals (RFP). The State of Utah intends to enter into an agreement with the prime contractor to construct the project as outlined. Individual contractors or alliances between two or more contractors are allowed in this process. The State will contract with only one legal entity.

23. Contract and Bond

The Design/Build Agreement will be in the form included in the RFP documents. The contract time will be as indicated in the proposal. The selected contractor, simultaneously with the execution of the contract agreement, will be required to furnish a performance bond and a payment bond, both bearing original signatures, upon the forms provided in the RFP. The performance and payment bonds shall be for an amount equal to one hundred percent (100%) of the contract sum and secured from a company that meets the requirements specified in the requisite forms. Subcontractors are not required to be bonded unless a specific requirement for such is included in the RFP documents.

24. <u>Licensure</u>

The Design/Build Team shall comply with and require all of it's A/Es consultants, sub-consultants, and subcontractors to comply with the license laws as required by the State of Utah.

25. Financial Responsibility of Contractors and Subcontractors

Contractors shall respond promptly to any inquiry in writing by DFCM to any concern of financial responsibility of the contractor or subcontractor.

26. Withdrawal of Proposals

Proposals may be withdrawn on written request received from proposer until the notice of selection is issued.

27. <u>Time is of the Essence</u>

Time is of the essence in regard to all the requirements of the contract documents.

28. Right to Reject Proposals

DFCM reserves the right to reject any or all proposals.

STATE OF UTAH - DEPARTMENT OF ADMINISTRATIVE SERVICES

Division of Facilities Construction and Management

PROJECT SCHEDULE

PROJECT NAME: DFCM PROJECT NO.				
Event	Day	Date	Time	Place
Advertisement Placed	Sunday			Multi-Media
RFP for Design/Build Teams Available	Monday			DFCM, 4110 State Office Bldg, SLC, UT 84114 or DFCM web site *
MANDATORY Pre- Proposal Meeting				
Last Day to Submit Questions			4:00 pm	DFCM, 4110 State Office Bldg, SLC, UT 84114
Final Addendum Issued			4:00 pm	By Fax or posted on DFCM web site*
Management Plans, References, Statements of Qualifications, Design Proposals, Cost Proposals and Cost/Scope Adjustments Due	Tues, Wed, or Thurs		12:00 Noon	DFCM, 4110 State Office Bldg, SLC, UT 84114
Subcontractor List Due	Wed, Thurs, or Fri		12:00 Noon	DFCM, 4110 State Office Bldg, SLC, UT 84114
Termination / Debarment Certifications Due		On or Before Date of Interview		
Interviews				
Announcement of Selection				

^{*} DFCM's web site address is http://dfcm.utah.gov



STATE OF UTAH - DEPARTMENT OF ADMINISTRATIVE SERVICES

DFCM

Division of Facilities Construction and Management

PROPOSAL FORM

NAME OF PROPOSER	DATE
To the Division of Facilities Construction and Management 4110 State Office Building Salt Lake City, Utah 84114	
The undersigned, responsive to the "Notice to Contractors" and in the	
and having examined the Contract Documents and the site of the p conditions surrounding the construction of the proposed Project, in proposes to furnish all labor, materials and supplies as required for Documents as specified and within the time set forth and at the priexpenses incurred in performing the Work required under the Conference of the proposed Project, in proposed Project,	recluding the availability of labor, hereby the Work in accordance with the Contract ce stated below. This price is to cover all
I/We acknowledge receipt of the following Addenda:	
For all work shown on the Drawings and described in the Specification perform for the sum of:	ations and Contract Documents, I/we agree to
(In case of discrepancy, written amount shall govern)	DOLLARS (\$)
I/We guarantee that the Work will be Substantially Complete byProceed, should I/we be the successful proposer and agree to pay I per day for each day after expiration of the Contract Time as stated	iquidated damages in the amount of \$
This bid shall be good for 45 days after bid opening.	
Enclosed is a 5% bid bond, as required, in the sum of	
The undersigned Contractor's License Number for Utah is	·
Upon receipt of notice of award of this bid, the undersigned agrees unless a shorter time is specified in the Contract Documents, and d bonds in the prescribed form in the amount of 100% of the Contract	leliver acceptable Performance and Payment

PROPOSAL FORM PAGE NO. 2

The Bid Bond attached, in the amount not less than five percent (5%) of the above bid sum, shall become the property of the Division of Facilities Construction and Management as liquidated damages for delay and additional expense caused thereby in the event that the contract is not executed and/or acceptable 100% Performance and Payment bonds are not delivered within the time set forth.

Type of Organization:	
(Corporation, Partnership, Individual, etc	.)
Any request and information related to U	tah Preference Laws:
	Respectfully submitted,
	Name of Proposer
	ADDRESS:
	Authorized Signature